**Project Concept Note**

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| **CONVENTIONS :** | | **PROJECT TITLE :** | | | |
| ◼BC 🞏RC 🞏SC 🞏SYN | | **Legal and policy activities specific to the Basel Convention (PoW32)** | | | |
| **FUNDING OPTIONS:** | | **TYPE / LOCATION** | | | **TARGETED COUNTRIES:** |
| 🞏Funding in full  ◼ Partial funding possible | | ◼ Global 🞏 Regional 🞏 National | | | Global |
| **MANDATE** | | | | | |
| **Convention Article:** Articles 3, 4, 9, 11, 13, 15 and 16 of the Basel Convention  **COP decisions:** Decisions VI/12, BC-13/1, BC-13/9, BC-13/10, BC-13/21, BC-14/15, BC-14/29 and BC-14/1 of the Basel Convention | | | | | |
| **Rationale** | | | | | |
| **Work programme of the Implementation and Compliance Committee (ICC)**  The ICC was specifically established to assist Parties to comply with their obligations under the Convention and to facilitate, promote, monitor and aim to secure the implementation of and compliance with the obligations under the Convention. The work programme of the Committee is adopted by the Conference of the Parties and reflects Parties’ shared view of the activities to be undertaken to promote the implementation of and compliance with the Convention with respect to both individual and general issues of implementation and compliance (review of general issues includes for instance collection of information, analysis, development of reports and recommendations, translation, publication and guidance documents). As of 15 June 2019, there are 19 individual submissions before the Committee.  **Implementation Fund**  The provision of financial support to Parties that are developing countries or countries with economies in transition and that are the subject of a submission made in accordance with paragraph 9 of the terms of reference of the ICC may be critical in view of resolving an implementation and compliance matter. As at its thirteenth meeting (7-10 September 2018), the Committee approved three compliance action plans and recommended the use of resources from the implementation fund for undertaking the activities listed therein. As of 15 June 2019, a total of 11 compliance action plans have been approved by the Committee, from which seven matters of concern have been resolved.  **Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions), 11 (agreements) and 13 (2) (transmission of information)**  In order to effectively implement the Convention and to ensure compliance with relevant national implementing laws, regulations and policies, the Parties need sound and complete information about their own and other Parties’ additional requirements under their national legal frameworks. Making this information available at least in English is essential to supporting cooperation between Parties and making available information with a view to promoting environmentally sound management of hazardous wastes. These activities also fall within the scope of and enable the Secretariat to fulfill its mandate under Article 16 of the Convention.  **Strategic framework for the implementation of the Basel Convention for 2012 – 2021**  Implementation of the strategic framework by Parties and other stakeholders contributes to achieving the objectives of the Convention. By decision BC-13/1, a small intersessional working group (SIWG) was established on the strategic framework. By decision BC-14/1, the Secretariat was requested to organize one face-to-face meeting of the working group during the 2020–2021 biennium, subject to the availability of resources. The Secretariat was also requested to prepare, in consultation with the SIWG, a draft report on the final evaluation of the strategic framework for consideration by the Open-ended Working Group at its twelfth meeting and a final version of the report to the Conference of the Parties at its fifteenth meeting for its consideration.  The meeting of the Implementation and Compliance Committee is described in factsheet for POW activity 10 (BC) on the fourteenth meeting of the Basel Convention Implementation and Compliance Committee. Additional legal and policy activities relevant to the Basel Convention are undertaken in the context of activities set out in factsheet for POW activity 13 on technical assistance and capacity development programme of the Basel, Rotterdam and Stockholm conventions, factsheet for POW activity 14 on training and capacity-building activities to enhance the implementation of the Basel Convention, factsheet for POW activity 34 on the coordination and provision of support to Parties in follow-up to the country-led initiative on environmentally sound management and further legal clarity, and factsheet for POW activity 33 on legal and policy activities under the Basel, Rotterdam and Stockholm conventions; national legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions. | | | | | |
| **Outcomes and indicators of achievement** | | | | | |
| **Component 32.1: Work programme of the Implementation and Compliance Committee (ICC)**  **Outcomes** Activities entrusted by the COP to the Implementation and Compliance Committee (ICC) and that the ICC decides to undertake are implemented. The outcome of these activities is expected to be an improved implementation and compliance with the Convention.  **Activities:**   1. Support the ICC in implementing its work programme, under the guidance of the ICC 2. . | | | | | |
| **Indicators of achievement:**   1. Support to the ICC for undertaking the activities of its work programme is provided to the satisfaction of the ICC and its Chair. | | | **Means of verification:**   1. Feedback from members and the Chair. | | |
| **Component 32.2: Implementation Fund**  **Outcomes:** Eligible Parties are supported in their efforts to undertake the activities of their ICC-approved compliance action plan towards resolution of their implementation and compliance difficulties.  **Activities:** Liaise with the Parties benefitting from the implementation fund, negotiate legal agreements including by inserting a gender component where appropriate and oversee their implementation, hire consultants and supervise their work. | | | | | |
| **Indicators of achievement:**   * 1. Resources in the implementation fund are used to assist with the resolution of the implementation and compliance matter | | | **Means of verification:**   1. Report of the ICC to the COP. | | |
| **Component 32.3: Notifications of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions), 11 (agreements) and 13 (2) (transmission of information)**  **Outcomes**: Parties and others have continued and improved access to information notified pursuant to Articles 3 (national definitions of hazardous wastes), 4(1) (import prohibitions), 11 (multilateral, bilateral and regional agreements and arrangements), and 13(2) (transmission of information) in several or all of the UN languages.  **Activities:**   1. Translate notifications of national definitions, import / export prohibitions. | | | | | |
| **Indicators of achievement:**   * 1. Notifications made available in at least English, or several / all of the UN official languages. | | | **Means of verification:**   1. Notifications databases available on Convention website. | | |
| **Component 32.4: Strategic framework for the implementation of the Basel Convention for 2012 – 2021**  **Outcomes:**  The report of the final evaluation is submitted to the COP for consideration at its fifteenth meeting in 2021, thereby allowing the COP to assess progress towards the attainment of the goals and objectives of the strategic framework and identify possible ways forward for the post 2021 strategic framework.  **Activities**:   1. Consultant to support the preparation of the final evaluation of the strategic framework and collect data for the final evaluation; 2. Organize a face-to-face meeting of the SIWG; | | | | | |
| **Indicators of achievement:**   1. Meeting facilities and services provided to ensure smooth running of the meeting 2. Travel of sponsored members organized; 3. Draft report is provided to OEWG-12; 4. Final report is presented to COP-15. | | | **Means of verification:**   * 1. List of participants to the meeting and report of the meeting   2. List of participants to the meeting and report of the meeting;   3. OEWG-12 pre-session information document;   4. COP-15 pre-session information document. | | |
| **IMPLEMENTATION TIMEFRAME** | | | | | |
| Project start date: 01/2020 | Project completion date: 12/2021 | | | | Total duration: *24 months* |
| **SOCIO-ECONOMIC ASPECTS** | | | | | |
| **Sustainable Development Goals:** All four parts of this activity will contribute to the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals (SDGs), in particular, Goal 3 on ensuring healthy lives and promoting well-being for all at all ages; Goal 11 on making cities and human settlements inclusive, safe, resilient and sustainable; and Goal 12 on ensuring sustainable consumption and production patterns.  **Gender mainstreaming:** To be in line with the BRS Gender Action Plan, the Secretariat will, where appropriate, encourage governments to take into account gender-related aspects in nominating representatives to the meetings of the convention bodies and in other relevant activities where suitable. The Secretariat will also advise, where appropriate, any potential gender impacts of the legal and policy matters considered.  **Integrated Approach:** All four parts of this activity can contribute to catalysing coordination among relevant stakeholders within Parties, including civil society and private sector, as relevant, for an improved implementation and compliance with the Convention. | | | | | |
| **PARTNERS** | | | | | |
| Work programme of the ICC As decided by the ICC. Implementation Fund Parties concerned. Other partners to be decided by the ICC (e.g. BCRC) or the Party concerned by a submission. Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions), 11 (agreements) and 13 (2) (transmission of information) Parties and BCRCs. Strategic framework for the implementation of the Basel Convention for 2012 – 2021: Focal points and competent authorities of Parties. | | | | | |
| **PROPOSED ACTIVITIES AND BUDGET [USD]** | | | | | |
| **Activity** | | | | **Voluntary budget** | |
| **32.1 Work programme of the ICC** | | | | | |
| Support to ICC in implementing its work programme. | | | | 120,000 | |
| **32.2 Implementation fund** | | | | | |
| Implementation support is provided to Parties to implement activities of ICC-approved compliance action plan. | | | | 500,000 | |
| **32.3 Notifications** | | | | | |
| Translation of notifications made available in English, and/or several UN official languages. | | | | 69,930 | |
| **32.4 Strategic framework** | | | | | |
| Expert’s support to prepare the draft final evaluation of the strategic framework and collect data for final evaluation. | | | | 40,000 | |
| Meeting and travel costs of sponsored members to attend the SIWG on the strategic framework. | | | | 33,984 | |
| **DIRECT PROJECT COST OPERATIONAL BUDGET** | | | | 763,914 | |
| *Programme Support Costs (PSC) 13%* | | | | 99,309 | |
| **TOTAL OPERATIONAL BUDGET** | | | | 863,223 | |