**Project Concept Note**

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| **CONVENTIONS :** | **PROJECT TITLE :** |
| ◼BC 🞏RC ◼SC ◼SYN | **National reporting (PoW24)** |
| **FUNDING OPTIONS:** | **TYPE / LOCATION** | **TARGETED COUNTRIES:** |
| 🞏Funding in full◼ Partial funding possible | ◼ Global 🞏 Regional 🞏 National | Global |
| **MANDATE** |
| **Convention Article:** Article 13 of the Basel Convention, Article 15 of the Stockholm Convention **COP decision(s):** BC-14/10 of the Basel Convention, SC-9/16 of the Stockholm Convention |
| **Rationale** |
| Reporting is a key obligation under both the Basel and Stockholm conventions. The activities under this programme assist Parties in transmitting complete and timely national reports. The information provided in national reports enables the conferences of the Parties to monitor progress in the implementation of the two conventions. For the Stockholm Convention, information provided in national reports is essential for the effectiveness evaluation under Article 16 and other evaluation processes such as that for PFOS, its salts and PFOSF and BDEs as mandated by the Convention. For the Basel Convention, national reports are an important means for Parties to share information on the national control system for hazardous and other wastes and their transboundary movements and is a key source of information for processes such as the evaluation of the Strategic Framework for the implementation of the Basel Convention. Continuous optimization and maintenance of the electronic reporting systems (ERS) and underlying databases of both conventions are necessary for Parties to submit quality reports. Query tools need to be elaborated to enhance access to reporting data by Parties and for evaluation purposes and to support the preparation of periodic reports for the conferences of the Parties. One of the main challenges encountered by Parties for reporting is to collect the data needed for completing the reports. Under the Basel Convention many developing countries have difficulties in developing inventories of hazardous and other wastes. Parties regularly request assistance from the Secretariat in understanding their reporting obligations under the Basel and Stockholm conventions, in completing the reporting questionnaires and in implementing activities for producing the data needed for the national reports. This activity is linked to Activity 23 (Effectiveness Evaluation and the Global Monitoring Plan).  |
| **Outcomes and indicators of achievement** |
| **Outcomes**:* *Reporting under the Basel Convention:* Parties to the Basel Convention are able to submit complete national reports in a timely manner, in compliance with their obligations, and the COP and its subsidiary bodies can make informed decisions on the basis of reporting data.
* *Reporting under the Stockholm Convention:* Parties to the Stockholm Convention are able to submit complete national reports in a timely manner that include information on newly listed POPs, in compliance with their obligations, and the COP and the subsidiary bodies can make informed decisions on the basis of accurate reports.

**Activities**: 1. Updating of the framework for effectiveness evaluation.2. Support regional organization groups in eligible regions to implement the third phase and to develop the regional monitoring reports; 3. Update the GMP guidance document with the newly listed substances;4. Support sustainable POPs monitoring in core media in eligible regions;5. Support the collection, processing, storing and presentation of the GMP monitoring data; 6. Support development of the global monitoring report;7. Participation in relevant meetings to disseminate GMP results. |
| **Indicators of achievement:***Reporting under the Basel Convention*1. Number of Parties using the ERS to submit national reports;
2. Data from national reports is readily accessible for analysis;
3. Number of reports produced for the COP and other stakeholders on the basis of national reporting data;
4. Number of communications with Parties and queries answered regarding completion of the reporting questionnaire;
5. Number of guidance documents on inventories developed (subject to availability of funding);
6. Number of guidance documents on inventories translated to facilitate pilot-testing (subject to availability of funding);
7. Number of capacity building activities that address national reporting and ensure a gender balanced participation.

*Reporting under the Stockholm Convention*1. Number of Parties using the ERS to submit national reports containing information on newly listed POPs;
2. Number of reports that are available in the correct format for consultation and analysis;
3. Adapted manual on completing the questionnaire for national reporting under the Stockholm Convention;
4. Number of reports produced for the COP and other stakeholders on the basis of national reporting data;
5. Number of communications with Parties and queries answered regarding completion of the reporting questionnaire;
6. Number of capacity building activities that address national reporting and ensure a gender balanced participation.
 | **Means of verification:***Reporting under the Basel Convention** 1. The ERS hosted on the Basel Convention website;
	2. National reports posted on Basel Convention website;
	3. Reports produced for COP, the ICC, process of review of the Strategic Framework and others;
	4. Communications with Parties on their national reports;
	5. Guidance documents on inventories on the Basel Convention website (subject to availability of funding);
	6. Translated guidance documents on inventories available for pilot-testing
	7. Technical assistance calendar and reports, including statistics on website usage and list of participants of training activities.

*Reporting under the Stockholm Convention*1. The ERS hosted on the Stockholm Convention website;
2. National reports posted on the Stockholm Convention website;
3. Adapted manual available on the Stockholm Convention website;
4. Reports produced for COP and for others;
5. Communications with Parties on their national reports;
6. Technical assistance calendar and reports, including statistics on website usage and list of participants of training activities.
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| **IMPLEMENTATION TIMEFRAME** |
| Project start date: 01/2020 | Project completion date: 12/2021 | Total duration: *24 months* |
| **SOCIO-ECONOMIC ASPECTS** |
| **Sustainable Development Goals:** Information in national reports under the Basel and Stockholm conventions contribute directly to monitoring progress in achieving Goal 12.4 of the SDGs; UNEP, as the custodian for indicators 12.4.1 and 12.4.2, will obtain the relevant data from the BRS Secretariat. Information in national reports under the Stockholm Convention also contributes to tracking progress in achieving several other goals including Goal 3.9 and 6.3 of the SDGs. **Gender mainstreaming**: National reports provide information on whether Parties have implemented public awareness programmes for women, children and the least educated on the environmental and health effects of POPs. Information on the production, generation and transboundary movements hazardous chemicals and wastes and their impacts on human health and the environment can assist in formulating policies to protect vulnerable populations including women and children. **Integrated Approach:** Timely and complete reporting by Parties depends on cooperation by a wide range of national stakeholders, including industry, who are the providers of information on implementation of the obligations under the Convention. The guidance documents on the inventory of waste streams will facilitate understanding of the sources of certain types of wastes which serves to devise measures towards managing the related material streams throughout their life-cycle. |
| **PARTNERS** |
| Regional centers; UNEP Chemicals and Health Branch |
| **PROPOSED ACTIVITIES AND BUDGET [USD]** |
| **Activity** | **Voluntary budget** |
| Guidance documents and translation on the development of inventories of priority hazardous wastes to facilitate pilot testing.  | 90,000 |
| National reporting and translation of information that includes newly listed POPs to support informed decisions. | 10,000 |
| **DIRECT PROJECT COST OPERATIONAL BUDGET** | 100,00 |
| *Programme Support Costs (PSC) 13%* | 13,000 |
| **TOTAL OPERATIONAL BUDGET** | 113,000 |