

PROJECT CONCEPT NOTE

CONVENTIONS :		PROJECT TITLE :	
<input checked="" type="checkbox"/> BC <input type="checkbox"/> RC <input checked="" type="checkbox"/> SC <input checked="" type="checkbox"/> SYN		National reporting (PoW 24) - Enhancing national reporting rates under the Basel and Stockholm Conventions	
FUNDING OPTIONS:		TYPE / LOCATION	TARGETED COUNTRIES:
<input type="checkbox"/> Funding in full <input checked="" type="checkbox"/> Partial funding possible		<input checked="" type="checkbox"/> Global <input type="checkbox"/> Regional <input type="checkbox"/> National	Global
MANDATE			
Convention Article: Article 13(3) of the Basel Convention, Article 15 and Article 20.2(d) of the Stockholm Convention COP decision(s): Decision BC-12/6 and BC-13/8 of the Basel Convention, Decision SC-7/23 and SC-8/17 of the Stockholm Convention			
Rationale			
<p>Reporting is a key obligation under both the Basel and Stockholm conventions. The information provided in national reports enables the conferences of the Parties to monitor progress in the implementation of the two conventions. The ability of Parties to submit complete national reports can also be an indication of the capacity to implement the Conventions. For the Stockholm Convention, information provided in national reports is essential for the effectiveness evaluation under Article 16 and other evaluation processes such as that for PFOS, its salts and PFOSE in accordance with Part III of Annex B of the Convention. For the Basel Convention, national reports are an important means for Parties to share information on the national control system for hazardous wastes and their transboundary movement. The information provided in national reports is also important for monitoring progress towards the attainment of Sustainable Development Goal 12 on sustainable production and consumption. One of the main challenges encountered by Parties for reporting is to collect the requisite information, such as on the generation and transboundary movements of hazardous wastes in the case of the Basel Convention and the production and use of persistent organic pollutants in the case of the Stockholm Convention. Another difficulty encountered by Parties is to understand the type of information needed in completing the national reporting questionnaires. To assist Parties in reporting under the Basel Convention, Practical guidance for the development of inventories of used lead-acid batteries, e-waste and waste oils have been developed by the Secretariat. At the request of the COP, these documents will be translated and pilot-tested in several developing countries with a view of collecting feedback that can make these tools more user-friendly and practical. A manual for completing the questionnaire for national reporting under the Stockholm Convention will also be developed to enhance clarity on the information that Parties are asked to provide. This activity is linked to Activities 23 (Effectiveness Evaluation and Global Monitoring Plan), 13 (Technical assistance and capacity development programme of the Basel, Rotterdam and Stockholm conventions) and 14 (Training and capacity development activities under the Basel Convention).</p>			
Outcomes and indicators of achievement			
Outcomes:			
<ul style="list-style-type: none"> • <i>Reporting under the Basel Convention:</i> Parties to the Basel Convention are able to submit complete national reports in a timely manner, in compliance with their obligations, and the COP and the subsidiary bodies can make informed decisions on the basis of accurate reports. • <i>Reporting under the Stockholm Convention:</i> Parties to the Stockholm Convention are able to submit complete national reports in a timely manner that include information on newly listed POPs, in compliance with their obligations, and the COP and the subsidiary bodies can make informed decisions on the basis of accurate reports. • Data is available from national reports to contribute to indicators for monitoring progress in achieving SDG12. 			
Indicators of achievement:		Means of verification:	
<i>Reporting under the Basel Convention:</i> <ol style="list-style-type: none"> 1. Number of Parties using the Electronic Reporting System (ERS) to submit national; 2. Number of reports that are available in the correct format for consultation and analysis; 3. Number of reports produced for the COP and other stakeholders on the basis of national reporting data; 4. Number of communications with Parties and queries answered regarding completion of the reporting questionnaire; 5. Number of times translated guidance documents on inventories are accessed from the Basel Convention website; 6. Number of countries that have tested the guidance on inventories at the national level; 7. Number of guidance documents on inventories developed; 8. Number of training activities organized; 9. Number of women and men trained on national reporting. <i>Reporting under the Stockholm Convention:</i> <ol style="list-style-type: none"> 1. Number of Parties using the ERS to submit national reports containing information on newly listed POPs. 2. Number of reports that are available in the correct format for consultation and analysis. 3. Number of reports produced for the COP and other 		<i>Reporting under the Basel Convention:</i> <ol style="list-style-type: none"> 1. The revised ERS hosted on the Basel Convention website; 2. National reports posted on Basel Convention website; 3. Reports produced for COP, the ICC and others; 4. Communications with Parties on their national reports; 5. Technical assistance calendar and reports; 6. Statistics on website usage; 7. Guidance on inventories on the Basel Convention website; 8. Pilot testing project proposals and reports; 9. List of participants of training activities. <i>Reporting under the Stockholm Convention:</i> <ol style="list-style-type: none"> 1. The ERS hosted on the Stockholm Convention website; 2. National reports posted on Stockholm Convention website; 3. Reports produced for COP and for others; 4. Communications with Parties on their national reports; 5. Technical assistance calendar and reports; 6. Statistics on website usage; 7. List of participants of training activities. 	

stakeholders on the basis of national reporting data. 4. Number of communications with Parties and queries answered regarding completion of the reporting questionnaire. 5. Manual on completing the questionnaire for national reporting under the Stockholm Convention. 6. Number of training activities organized. 7. Number of women and men trained on national reporting.		
IMPLEMENTATION TIMEFRAME		
Project start date: <i>01/2018</i>	Project completion date: <i>12/2019</i>	Total duration: <i>24 months</i>
SOCIO-ECONOMIC ASPECTS		
<p>Sustainable Development Goals: Information in national reports under the Basel and Stockholm conventions contribute directly to monitoring progress in achieving Goal 12.4 of the SDGs; UNEP, as the custodian for indicators 12.4.1 and 12.4.2, will obtain the relevant data from the BRS Secretariat. Information in national reports under the Stockholm Convention also contributes to tracking progress in achieving several other goals including Goal 3.9 and 6.3. 6 of the SDGs.</p> <p>Gender mainstreaming: National reports provide information on whether Parties have implemented public awareness programmes for women, children and the least educated on the environmental and health effects of POPs. Information on the production, generation and transboundary movements hazardous chemicals and wastes and their impacts on human health and the environment can assist in formulating policies to protect vulnerable populations including women and children.</p> <p>Integrated Approach: Timely and complete reporting by Parties depends on cooperation by a wide range of national stakeholders, including industry, who are the providers of information on implementation of the obligations under the Convention. The guidance documents on the inventory of waste streams will facilitate understanding of the sources of certain types of wastes which serves to devise measures towards managing the related material streams throughout their life-cycle.</p>		
PARTNERS		
Regional centers; UN Environment Chemicals and Health Branch.		
PROPOSED ACTIVITIES AND BUDGET FOR THE BIENNIUM 2018-19 [USD]		
Activity	Output type	Budget
Translate guidance documents on inventory (BC)	Translation	25,000
Pilot test the guidance documents on inventory of priority waste streams in 3 countries (BC)	Pilot projects	75,000
Staff travel to countries undertaking pilot tests (BC)	Pilot projects	7,500
Develop the manual for completing the questionnaire for national reporting under the Stockholm Convention (SC)	Guidance documents	20,000
DIRECT PROJECT COST OPERATIONAL BUDGET		127,500
<i>Programme Support Costs (PSC) 13%</i>		<i>16,575</i>
TOTAL OPERATIONAL BUDGET		144,075