



Food and Agriculture  
Organization of the  
United Nations



United Nations  
Environment Programme

## PROJECT CONCEPT NOTE

<b>CONVENTIONS :</b>		<b>PROJECT TITLE :</b>	
<input checked="" type="checkbox"/> BC <input checked="" type="checkbox"/> RC <input checked="" type="checkbox"/> SC <input checked="" type="checkbox"/> SYN		<b>Technical assistance and capacity development programme of the Basel, Rotterdam and Stockholm conventions (PoW 13) - Impact and needs assessment for the technical assistance activities implemented in the biennium 2018/19</b>	
<b>FUNDING OPTIONS:</b>		<b>TYPE / LOCATION</b>	<b>TARGETED COUNTRIES:</b>
<input type="checkbox"/> Funding in full <input checked="" type="checkbox"/> Partial funding possible		<input checked="" type="checkbox"/> Global <input type="checkbox"/> Regional <input type="checkbox"/> National	Global
<b>MANDATE</b>			
<p><b>Convention Article:</b> Articles 4, 10 and 16 of the Basel Convention; Articles 16 and 19 of the Rotterdam Convention; Articles 12 and 20 of the Stockholm Convention</p> <p><b>COP decision(s):</b> BC-10/29, BC-10/2, BC-10/3, BC-10/13, BC-10/23, BC-11/11, BC-12/6, BC-12/8, BC-12/9, BC-12/20, BC-12/22; BC-13/11, RC-5/12, RC-5/10, RC-5/14, RC-6/11, RC-7/5, RC-7/7, RC-7/10, RC-7/12; RC-8/9, RC-8/10, and RC-8/14, SC-5/27, SC-5/20, SC-6/15, SC-7/15, SC-7/16, SC-7/23, SC-7/28, SC-7/30, SC-8/15, SC-8/20, and SC-8/21.</p>			
<b>Rationale</b>			
<p>The overall approach to technical assistance for the implementation of the Basel, Rotterdam and Stockholm conventions is set out in the Technical Assistance Plan and focuses on developing, strengthening and maintaining the capabilities needed to meet the obligations at the individual, organizational and systemic levels. While using the harmonized approach across the three conventions, the Plan sets the strategic direction for the Secretariat's technical assistance activities for the period from 2018 to 2021. It provides the vision, guiding principles and the objectives to support Parties in meeting their obligations under the conventions as well as to strive towards the implementation of the 2020 goal set in Johannesburg in 2002. As a long-term development objective, the Plan supports Parties in engaging in the interministerial process on strengthening chemicals and waste management in the long term, beyond 2020 as well as in integrating chemicals and wastes into the national strategies for sustainable development. By strengthening Parties' statistical capacities on reporting, data and information will be made available for the follow-up and review of the Sustainable Development Goals and the Agenda 2030 on Sustainable Development. All activities to be undertaken are results-based interventions based on desired outcomes, outputs and indicators, in agreement with the strategic direction set by the Conferences of the Parties through their respective decisions as well as with the identified needs in technical assistance of the Parties and the aspects developed and identified by subsidiary bodies or intersessional processes. Activities will allow for improved impact assessment, monitoring and evaluation while advancing the implementation of capacity development and assisting Parties to address their needs and barriers to resolving those needs in a strategic, systematic and forward-looking manner. In each budget cycle, the Plan will monitor and assess the impact generated at the national level vis-à-vis the strategic direction. For the biennium 2018-2019 the impact assessment will be undertaken to create a baseline of impacts which will help to track the trend in the next assessment. The outcomes of this assessment will be made available at the meetings of the conferences of the Parties in 2019 and thus inform the implementation of technical assistance work for the biennium 2020/21.</p> <p>In May 2015, Parties to the Basel, Rotterdam and Stockholm conventions in their respective decisions on technical assistance (BC-12/9, RC-7/7 and SC-7/16) requested the Secretariat to continue making full use of the database for the collection of the needs of Parties in implementing their obligations under each Convention, and also requested the Secretariat to analyse such information and to identify the gaps and barriers regarding technical assistance and in case of Basel and Stockholm conventions also technology transfer, and to propose recommendations and take action to address those problems. In May 2017, by decisions on technical assistance (BC-13/11, RC-8/9, SC-8/15) Parties requested the Secretariat to continue to collect, through the database established for that purpose, information on the technical assistance and capacity-building needs of developing-country Parties and Parties with economies in transition. Activities presented below contribute to the implementation of the technical assistance plan in 2018-2019.</p>			
<b>Outcomes and indicators of achievement</b>			
<p><b>Outcomes:</b> Enhanced capacities of Parties to increase national coordination and cooperation for the implementation of the BRS conventions. More specifically, the following outcomes of this activity could be expected:</p> <ul style="list-style-type: none"> <li>• A report presenting an outline of the framework for undertaking the impact assessment of the technical assistance activities with a priority focus on the activities of the biennium 2020-2021 and, when possible, those undertaken during the biennium 2018-2019.</li> <li>• The Needs Assessment database is regularly updated and available online; and</li> <li>• A report on the needs based on the data from the database is available.</li> </ul>			

<b>Indicators of achievement:</b>		<b>Means of verification:</b>	
<ol style="list-style-type: none"> <li>1. Outline of the framework for the impact assessment is developed;</li> <li>2. Needs assessment database is updated on regular basis;</li> <li>3. A report on the technical assistance needs of Parties is developed.</li> </ol>		<ol style="list-style-type: none"> <li>1. The report is posted on the website;</li> <li>2. Needs assessment database on the website;</li> <li>3. Needs assessment report is posted on the website.</li> </ol>	
<b>IMPLEMENTATION TIMEFRAME</b>			
Project start date: 01/2018		Project completion date: 12/2019	
		Total duration: 24 months	
<b>SOCIO-ECONOMIC ASPECTS</b>			

**Sustainable Development Goals:**

The project supports directly SDG target 12.4 related to sustainable consumption and production where, by 2020, environmentally sound management of chemicals and all wastes should be achieved throughout their life cycle in accordance with agreed international frameworks and their release to air, water and soil should be significantly reduced to minimize their adverse impacts on human health and the environment. It further contributes to SDG target 2.4 to ensure sustainable food production systems and implement resilient agricultural practices that increase productivity and production; and to SDG target 3.9, to substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination. The project supports SDG target 17.9 by enhancing international support for implementing effective and targeted capacity-building in developing countries to support national plans to implement all the sustainable development goals, including through North-South, South-South and triangular cooperation

**Gender mainstreaming:**

All capacity development activities set out in PoWs 13 to 16 will take into consideration social issues and gender equality. Gender will be considered when specific activities are designed and during the implementation of activities and their evaluation. A basic gender analysis will be conducted for gender relevant activities as part of the design phase.

**Integrated Approach:**

The capacity development activities are also supportive of the integrated approach to financing options for chemicals and waste, as it promotes industry involvement and mainstreaming of the objectives of the conventions into the broader development agenda.

**PARTNERS**

The activity will be implemented in close collaboration with the regional centers, which will provide administrative support and technical input. The UN Environment Chemicals and Health Branch, the Interim Secretariat on the Minamata Convention on Mercury and SAICM will be consulted on the activity when relevant. IOMC organizations will be invited to participate in the workshops and projects. Other relevant key stakeholders, such as the UNEP and FAO Regional Offices, non-governmental organizations and private sector organizations, will be welcomed to participate in the activity.

**PROPOSED ACTIVITIES AND BUDGET FOR THE BIENNIUM 2018-19 [USD]**

Activity	Output type	Budget
Impact assessment of the technical assistance activities implemented in the biennium 2018/19	Impact assessment report	60,000
Update and make available online the Needs Assessment database	Needs assessment report	15,000
Information collection and compilation	Needs assessment report	15,000
<b>DIRECT PROJECT COST OPERATIONAL BUDGET</b>		<b>90,000</b>
<i>Programme Support Costs (PSC) 13%</i>		<i>11,700</i>
<b>TOTAL OPERATIONAL BUDGET</b>		<b>111,700</b>